Receipt date: 04/01/2009 10633876 - GAU: 1616

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT	ATTY. DOCKET NO. 0208.00060.01R	serial no. 10/633,876	
AND TRADEMARK OFFICE List of Information Cited by Applicant Page 1 of 6	APPLICANT HALE, ET AL		
rage 1010	FILING DATE AUGUST 4, 2003	GROUP 3743	

			U.S. PATENT D	OCUMENTS			
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
	AA	1,239,634	9/1/1917	Stuart, F.J.			
	AB	1,535,486	4/1/1925	Lundy			
	AC	1,803,334	5/1/1931	Lehmann			
	AD	1,864,980	6/1/1932	Curran			
	AE	2,084,299	6/1/1937	Borden, Arthur G.			
	AF	2,086,140	7/1/1937	Ernst, Silten			
	AG	2,243,669	5/1/1941	Clyne			
	AH	2,309,846	2/1/1943	Marius Holm Einar			
	AI	2,469,656	5/1/1949	Lienert			
	AJ	2,714,649	8/1/1955	Critzer			
	AK	2,741,812	4/1/1956	Andre			
	AL	2,761,055	8/1/1956	Ike			
	AM	2,887,106	5/1/1959	Robinson			

	FOREIGN PATENT DOCUMENTS											
EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS					
	AN	EP 0 808 635	7/2/2003	Europe								
	AO	HU 200105B	10/4/1988	Hungary								
	AP	HU 219392B	6/3/1993	Hungary								
	AQ	WO 00/28979	5/25/2000	WIPO								
	AR	WO 02/098389	12/12/2002	WIPO								
	AS	WO 03/37412	5/8/2003	WIPO								
	AT	WO 97/40819	11/6/1997	WIPO								

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)									
	AU	(00006.01R) Office Action mailed 01/26/2007 with respect to U.S. Appl. No. 10/057,198								
	AV	(00006.01R) Office Action mailed 07/03/2006 with respect to U.S. Appl. No. 10/057,198								
EXAMINER	EXAMINER /Mina Haghighatian/ DATE CONSIDERED 06/30/2009									
ll										

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and <u>not</u> considered. Include copy of this form with next communication to applicant.

S:\ClientFolders\0208 (Alexza)\60.01R\IDS #2.doc

Receipt date: 04/01/2009 10633876 - GAU: 1616

SERIAL NO. FORM PTO-1449 ATTY. DOCKET NO. U.S. DEPARTMENT OF COMMERCE PATENT 10/633,876 0208.00060.01R AND TRADEMARK OFFICE APPLICANT List of Information Cited by Applicant HALE, ET AL Page 2 of 6 FILING DATE GROUP **AUGUST 4, 2003** 3743

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
	BA	2,898,649	8/1/1959	Murray			
	ВВ	3,043,977	7/1/1962	Morowitz			
	BC	3,080,624	3/1/1963	Webber III, R.L.			
	BD	3,200,819	8/1/1965	Gilbert			
	BE	3,749,547	7/1/1973	Gregory et al.			
	BF	3,763,347	10/1/1973	Whitaker et al.			
	BG	3,773,995	11/20/1973	Pachter et al.			
	вн	3,847,650	11/1/1974	Gregory et al.			
	ві	3,930,796	1/1/1976	Haensel			
	ВЈ	3,949,743	4/13/1976	Shanbrom			
	BK	4,020,379	4/1/1977	Manning			
	BL	4,184,099	1/1/1980	Lindauer et al.			
	ВМ	4,276,243	6/30/1981	Partus			
	BN	4,346,059	8/1/1982	Spector			
	во	4,484,576	11/27/1984	Albarda			
	ВР	4,556,539	12/1/1985	Spector			
	BQ	4,627,963	12/1/1986	Olson, Donald M.			
	BR	4,647,428	3/1/1987	Gyulay			
	BS	4,647,433	3/1/1987	Spector			
	BT	4,722,334	2/2/1988	Blackmer et al.			
	BU	4,892,109	1/9/1990	Strubel		1	
	BV	4,911,157	3/27/1990	Miller			
	BW	4,924,883	5/15/1990	Perfetti et al.			
	BX	4,935,624	6/19/1990	Henion et al.			
	BY	4,968,885	11/6/1990	Willoughby			
	BZ	4,984,158	1/1/1991	Hillsman			
	BAA	5,038,769	8/13/1991	Krauser			
	ввв	5,093,894	3/3/1992	Deevi et al.			
	всс	5,095,921	3/17/1992	Loose et al.			
	BDD	5,109,180	4/1/1992	Boultinghouse et al.			
	BEE	5,135,009	8/4/1992	Muller et al.			
	BFF	5,144,962	9/8/1992	Counts et al.			
	BGG	5,146,915	9/15/1992	Montgomery			
	внн	5,149,538	9/22/1992	Granger et al.			
	BII	5,160,664	11/3/1992	Liu			
	вјј	5,179,966	1/19/1993	Losee et al.		†	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT	ATTY. DOCKET NO.	SERIAL NO.	
AND TRADEMARK	0208.00060.01R	10/633,876	
OFFICE	APPLICANT		
List of Information Cited by Applicant Page 3 of 6	HALE, ETAL		
Tage 5 of 6	FILING DATE	GROUP	
	AUGUST 4, 2003	3743	

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
INITIAL	CA	5,226,411	7/13/1993	Levine			DATE
	СВ	5,255,674	10/1/1993	Oftedal et al.			
	СС	5,261,424	11/1/1993	Sprin, et al.			
	CD	5,269,327	12/14/1993	Counts et al.			
	CE	5,285,798	2/15/1994	Banerjee et al.			
	CF	5,322,075	6/21/1994	Deevi et al.			
	CG	5,357,984	10/25/1994	Farrier et al.			
	СН	5,363,842	11/1/1994	Mishelevich et al.			
	СІ	5,372,148	12/1/1994	McCafferty et al.			
	СЈ	5,400,969	3/28/1995	Keene			
	СК	5,402,517	3/28/1995	Gillett et al.			
	CL	5,408,574	4/18/1995	Deevi et al.			
	СМ	5,479,948	1/2/1996	Counts et al.			
	CN	5,505,214	4/9/1996	Collins et al.			
	СО	5,537,507	7/16/1996	Mariner et al.			
	СР	5,538,020	7/23/1996	Farrier et al.			
	CQ	5,564,442	10/15/1996	MacDonald et al.			
	CR	5,565,148	10/15/1996	Pendergrass			
	CS	5,577,156	11/19/1996	Costello			
	СТ	5,591,409	1/7/1997	Watkins			
	CU	5,593,792	1/14/1997	Farrier et al.			
	cv	5,613,504	3/25/1997	Collins et al.			
	cw	5,613,505	3/25/1997	Campbell et al.			
	CX	5,769,621	6/23/1998	Early et al.			
	CY	5,809,997	9/22/1998	Wolf			
	CZ	5,829,436	11/3/1998	Rubsamen et al.			
	CAA	5,865,185	2/2/1999	Collins et al.			
	СВВ	5,874,481	2/23/1999	Weers et al.			
	CCC	5,904,900	5/18/1999	Bleuse et al.			
	CDD	5,934,289	8/1/1999	Watkins et al.			
	CEE	6,004,516	12/21/1999	Rasouli et al.			
	CFF	6,053,176	4/1/2000	Adams et al.			
	CGG	6,085,026	7/4/2000	Hammons et al.			
	СНН	6,090,212	7/18/2000	Mahawili			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

S:\ClientFolders\0208 (Alexza)\60.01R\IDS #2.doc

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK	ATTY. DOCKET NO. 0208.00060.01R	serial no. 10/633,876	
OFFICE List of Information Cited by Applicant Page 4 of 6	APPLICANT HALE, ET AL		
Tage 4 of 0	FILING DATE	GROUP	
	AUGUST 4, 2003	3743	

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CL S	SUB- CLS	FILE DATE
INTIAL	DA	6,155,268	12/5/2000	Takeuchi			DATE
	DB	6,158,431	12/12/2000	Poole			
	DC	6,178,969	1/30/2001	St. Charles			
	DD	6,250,301	6/26/2001	Pate			
	DE	6,300,710	10/1/2001	Nakamori			
	DF	6,309,668	10/30/2001	Bastin et al.			
	DG	6,309,986	10/30/2001	Flashinski et al.			
		6,325,475	12/4/2001	Hayes et al.			
	DH	6,390,453	5/21/2002	Frederickson et al.			
	DJ	6,491,233	12/10/2002	Nichols			
	DK	6,501,052	12/31/2002	Cox et al.			
	DL	6,557,552	5/6/2003	Cox et al.			
	DM	6,561,186	12/31/2002	Casper et al.			
	DN	6,568,390	5/27/2003	Nichols et al.			
	DO	6,648,950	11/18/2003	Lee et al.			
	DP	6,671,945	1/6/2004	Gerber et al.			
	DQ	6,680,668	1/20/2004	Gerber et al.			
	DR	6,681,769	1/27/2004	Sprinkel et al.			
	DS	6,681,998	1/27/2004	Sharpe et al.			
	DT	6,688,313	2/10/2004	Wrenn et al.			
	DU	6,694,975	2/1/2004	Schuster et al.			
	DV	6,701,921	3/1/2004	Sprinkel et al.			
	DW	6,701,922	3/9/2004	Hindle et al.			
	DX	6,715,487	4/6/2004	Nichols et al.			
	DY	6,728,478	4/27/2004	Cox et al.			
	DZ	6,772,756	8/10/2004	Shayan			
	DAA	6,772,757	8/1/2004	Sprinkel, Jr. et al.			
	DBB	6,779,520	8/1/2004	Genova et al.			
	DCC	US 2001/42546	11/22/2001	Umeda et al.			
	DDD	US 2002/078955	6/27/2002	Nichols et al.			
	DEE	US 2002/097139	7/25/2002	Gerber et al.			
	DFF	US 2002/117175	8/29/2002	Kottayil et al.			
	DGG	US 2003/106551	6/12/2003	Sprinkel et al.			
	рнн	US 2003/121906	7/3/2003	Abbott et al.			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and <u>not</u> considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK	ATTY. DOCKET NO. 0208.00060.01R	serial no. 10/633,876	
OFFICE List of Information Cited by Applicant Page 5 of 6	APPLICANT HALE, ET AL		
rage 3 of 0	FILING DATE AUGUST 4, 2003	GROUP 3743	

	U.S. PATENT DOCUMENTS											
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE					
	EA	US 2003/132219	7/17/2003	Cox et al.								
	EB	US 2003/156829	8/21/2003	Cox et al.								
	EC	US 2003/33055	2/13/2003	McRae et al.								
	ED	US 2003/49025	3/13/2003	Neumann et al.								
	EE	US 2004/35409	2/26/2004	Harwig et al.								
	EF	US 2004/55504	3/25/2004	Lee et al.								
	EG	US 2004/81624	4/29/2004	Nguyen et al.								
	EH											

	FOREIGN PATENT DOCUMENTS											
EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS					
	EI	WO 01/043801	6/21/2001	WIPO								
	EJ	WO 02/094234	11/28/2002	WIPO								
	EK	WO 02/51466	7/4/2002	WIPO								
	EL											

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	EM	(00006.01R) Office Action mailed 09/20/2005 with respect to U.S. Appl.
		No. 10/057,198
	EN	(00014.01R) Office Action mailed 01/12/2005 with respect to U.S. Appl.
		No. 10/057,197
	EO	(00014.01R) Office Action mailed 06/03/2004 with respect to U.S. Appl.
		No. 10/057,197
	EP	(00014.01R) Office Action mailed 06/05/2007 with respect to U.S. Appl.
		No. 10/057,197
	EQ	(00014.01R) Office Action mailed 09/21/2006 with respect to U.S. Appl.
		No. 10/057,197
	ER	(00017.01CIP) Office Action mailed 02/27/2004 with respect to U.S.
		Appl. No. 10/146,080
	ES	(00017.01CIP) Office Action mailed 03/20/2007 with respect to U.S.
		Appl. No. 10/146,080 (00017.01CIP)
	ET	(00017.01CIP) Office Action mailed 06/05/2006 with respect to U.S.
		Appl. No. 10/146,080
EXAMINER	//	vlina Haghighatian/ DATE CONSIDERED 06/30/2009
*EXAMINER	l Initial i	f reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in

conformance and <u>not</u> considered. Include copy of this form with next communication to applicant. S:\ClientFolders\0208 (Alexza)\60.01R\IDS #2.doc

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK	ATTY. DOCKET NO. 0208.00060.01R	serial no. 10/633,876
OFFICE List of Information Cited by Applicant Page 6 of 6	APPLICANT HALE, ET AL	
Tage 0 01 0	FILING DATE AUGUST 4, 2003	GROUP 3743

		(00000000000000000000000000000000000000
	FA	(00006.01R) Office Action mailed 12/04/2003 with respect to U.S. Appl. No. 10/057,198
	FB	(00014.01R) Office Action mailed 12/15/2003 with respect to U.S. Appl.
	FC	No. 10/057,197 (00017.01CIP) Office Action mailed 08/25/2005 with respect to U.S.
		Appl. No. 10/146,080
	FD	(00017.01CIP) Office Action mailed 12/28/2007 with respect to U.S. Appl. No. 10/146,080
	FE	(00018.01CIP) Office Action mailed 02/12/2007 with respect to U.S. Appl. No. 10/146,086
	FF	(00018.01CIP) Office Action mailed 10/30/2007 with respect to U.S. Appl. No. 10/146,086
	FG	(00018.01CIP) Office Action mailed 12/13/2005 with respect to U.S.
	FH	Appl. No. 10/146,086 (00019.01CIP) Office Action mailed 02/16/2007 with respect to U.S. Appl. No. 10/146,088
	FI	(00019.01CIP) Office Action mailed 09/28/2007 with respect to U.S. Appl. No. 10/146,088
	FJ	(00019.01CIP) Office Action mailed 11/21/2007 with respect to U.S. Appl. No. 10/146,088
	FK	(00034.01R) Office Action mailed 08/13/2003 with respect to U.S. Appl No. 10/153,313
	FL	(00067.01R) Office Action mailed 03/08/2005 with respect to U.S. Appl No. 10/718,982
	FM	Wood, R.W. et al. (1996). "Methylecgonidine Coats the Crack Particle." Pharmacology Biochemistry & Behavior. 53(1):57-66.
	FN	
	FO	
	FP	
	FQ	
	FR	
	FS	
	FT	
	FU	
	FV	
	FW	
	FX	
	FY	
	FZ	
XAMINER	/8	I fina Haghighatian/ DATE CONSIDERED 06/30/2009

S:\ClientFolders\0208 (Alexza)\60.01R\IDS #2.doc

conformance and not considered. Include copy of this form with next communication to applicant.